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Technology Center 2100

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11 THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appricants

Masood Garahi et al.

Application No.:

09/825,537

Confirmation No.: 9788

Filed

April 2, 2001

For

SYSTEMS AND METHODS FOR PLACING

PARIMUTUEL WAGERS ON FUTURE EVENTS

Group Art Unit :

2164

Examiner

Not yet assigned

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Hon. Commissioner for Patents

P.O. Box 2327

Arlington, Virginia 22202

GROUP 3600

TRANSMITTAL LETTER FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application.

This Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit

UE

Account No. 06-1075. A duplicate copy of this letter is **RECEIVED** transmitted herewith.

APR 3 0 2002

Respectfully submitted,

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James A. Leiz

Registration No. 46,109

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Technology Center 2100

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PATENT APPLICATION

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Hon. Commissioner for Patents

P.O. Box 2327

Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

<u>U.S. Patent Documents</u>

3,909,002	Levy	09/30/75
4,033,588	Watts	07/05/77
4,108,361	Krause	08/22/78
4,322,612	Lange	03/30/82
4,339,798	Hedges et al.	07/13/82
4,372,558	Shimamoto et al.	02/08/83
4,494,197	Troy et al.	01/15/85
4,593,904	Graves	06/10/86
4,636,951	Harlick	01/13/87
4,652,998	Koza et al.	03/24/87
4,689,742	Troy et al.	08/25/87
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4,745,468	Von Kohorn	05/17/88
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4,760,527 4,764,666 4,815,741 4,882,473 4,922,522 4,926,255 4,926,327 4,965,825 4,965,825 4,969,183 4,996,705 5,007,649 5,034,807 5,054,787 5,057,915 5,083,272 5,096,195 5,096,202 5,112,050 5,119,295 5,178,389 5,186,471 5,218,631 5,276,312	Sidley Bergeron Small Bergeron et al. Scanlon Von Kohorn Sidley Harvey et al. Reese Entenmann et al. Richardson Von Kohorn Richardson Von Kohorn Walker et al. Gimmon Hesland Koza et al. Kapur Bentley et al. Vancraeynest Katz McCarthy	07/26/88 08/16/88 03/28/89 11/21/89 05/01/90 05/15/90 10/23/90 11/06/90 02/26/91 04/16/91 07/23/91 10/08/91 10/15/91 01/21/92 03/17/92 03/17/92 03/17/92 03/17/92 05/12/93 02/16/93 06/08/93 01/04/94
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	Walker et al.	
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5,326,104	Pease et al.	07/05/94
5,327,485	Leaden	07/05/94
5,333,868	Goldfarb	08/02/94
5,340,119	Goldfarb	08/23/94
5,351,970	Fioretti	
5,354,069		10/04/94
	Guttman et al.	10/11/94
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5,505,449	Eberhardt et al.	04/09/96
5,507,489	Reibel et al.	04/16/96
5,518,253	Pocock et al.	05/21/96
5,539,450	Handelman	07/23/96
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5,573,244	Mindes	11/12/96
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5,749,785	Rossides	05/12/98
5,755,621	Marks et al.	05/26/98
5,759,101	Von Kohorn	06/02/98
5,762,552	Vuong et al.	06/09/98
5,772,511	Smeltzer	06/30/98
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6,203,427	Walker et al.	03/20/01
6,251,016	Tsuda et al.	06/26/01
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PCT	11/16/95
PCT	05/29/97
EPO	10/28/98
EPO	08/11/99
	Japan Japan EPO Japan EPO Japan EPO PCT PCT EPO

Copies of the aforementioned documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and are attached hereto.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that these documents be
(1) fully considered by the Patent and Trademark Office during
examination of this application; and (2) printed on any patent
which may issue on this application. Applicants request that a

copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

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FORM PTO-1449	U.S. DEPARTMENT OF COM PATENT AND TRADEMARK		ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
	ENTAL INFORMATION DISCLOS	URE C	APPLICANTS Masood Garahi et al.	CONFIRMATION NO. 9788
31	ATEMENT BY APPLICANTS	APR 2 6 2002	FILING DATE	GROUP

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	3,909,002	09/30/75	Levy	273	138A	ATTROTRIATE
	4,033,588	07/05/77	Watts	273	138A	
	4,108,361	08/22/78	Krause	235	375	
	4,322,612	03/30/82	Lange	235	419	
	4,339,798	07/13/82	Hedges et al.	364	412	APR 3 U 2002
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	4,593,904	06/10/86	Graves	273	1E	Chnola U 200
	4,636,951	01/13/87	Harlick	364	412	Sy Cons
	4,652,998	03/24/87	Koza et al.	364	412	1101210
	4,689,742	08/25/87	Troy et al.	364	412	
	4,694,490	09/15/87	Harvey et al.	380	234	
	4,745,468	05/17/88	Von Kohorn	358	84	
	4,747,600	05/31/88	Richardson	273	269	
	4,760,527	07/26/88	Sidley	364	412	
	4,764,666	08/16/88	Bergeron	235	380	
	4,815,741	03/28/89	Small	273	138A	
	4,882,473	11/21/89	Bergeron et al.	235	380	Ω
	4,922,522	05/01/90	Scanlon	379	95	→ → → →
	4,926,255	05/15/90	Von Kohorn	358	84	
	4,926,327	05/15/90	Sidley	364	412	70 22
	4,965,825	10/23/90	Harvey et al.	380	233	
	4,969,183	11/06/90	Reese	379	88	36 PT
	4,996,705	02/26/91	Entenmann et al.	379	91	9
	5,007,649	04/16/91	Richardson	273	237	
	5,034,807	07/23/91	Von Kohorn	358	84	
	5,054,787	10/08/91	Richardson	273	369	
	5,057,915	10/15/91	Von Kohorn	358	84	
	5,083,272	01/21/92	Walker et al.	364	412	

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
	NTAL INFORMATION DISCLOSURE	APPLICANTS Masood Garahi et al.	CONFIRMATION NO. 9788
317	APR 2 6 2002	SFILING DATE	GROUP 2164

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EXAMINER	DOCUMENT	DATE	NAME -	01.400	011001 400	FILING DATE
INITIAL	NUMBER	DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
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	5,096,202	03/17/92	Hesland	273	237	
	5,112,050	05/12/92	Koza et al.	273	139	
	5,119,295	06/02/92	Kapur	364	412	
	5,178,389	01/12/93	Bentley et al.	273	138	
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	5,218,631	06/08/93	Katz	463	41	1. CE
	5,276,312	01/04/94	McCarthy	235	380	Tea HPR 3 0
	5,280,426	01/18/94	Edmonds	364	408	Technology Center 2
	5,282,620	02/01/94	Keesee	273	138	Conto
	5,283,734	02/01/94	Von Kohorn	364	412	10/ 2
	5,286,023	02/15/94	Wood	273	138	
	5,297,802	03/29/94	Pocock et al.	273	439	
-	5,326,104	07/05/94	Pease et al.	273	138	
	5,327,485	07/05/94	Leaden	379	95	
	5,333,868	08/02/94	Goldfarb	273	138	
	5,340,119	08/23/94	Goldfarb	273	439	
-	5,351,970	10/04/94	Fioretti	273	439	-
	5,354,069	10/11/94	Guttman et al.	273	439	
	5,365,575	11/15/94	Katz	379	92	
	5,398,932	03/21/95	Eberhardt et al.	273	138	
	5,403,999	04/04/95	Entenmann et al.	235	379	
	5,417,424	05/23/95	Snowden et al.	273	138	
	5,505,449	04/09/96	Eberhardt et al.	273	138	
	5,507,489	04/16/96	Reibel et al.	273	138	
	5,518,253	05/21/96	Pocock et al.	273	439	
	5,539,450	07/23/96	Handelman	348	12	
	5,539,822	07/23/96	Lett	380	20	
	5,545,088	08/13/96	Kravitz et al.	463	40	

EXAMINER

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
	INTAL INFORMATION DISCLOSURE	APPLICANTS Masood Garahi et al.	CONFIRMATION NO. 9788
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,569,083	10/29/96	Fioretti	463	19	
	5,573,244	11/12/96	Mindes	463	26	
	5,575,474	11/19/96	Rossides	463	26	PECEIVE APR 3 0 2002 Chnology Center 2100
	5,577,727	11/26/96	Brame et al.	273	139	RECA
	5,586,937	12/24/96	Menashe	463	41	ADDETVE
	5,608,785	03/04/97	Kasday	379	90 7	Ch. 18302
	5,643,088	07/01/97	Vaughn et al.	463	40	1110/00×10 <002
	5,647,795	07/15/97	Stanton	463	1	Center 2.
	5,679,077	10/21/97	Pocock et al.	463	19	*100
	5,683,090	11/04/97	Zeile et al.	273	269	
	5,688,174	11/18/97	Kennedy	463	37	
	5,713,795	02/03/98	Kohorn	463	17	
	5,722,890	03/03/98	Libby et al.	463	17	
	5,729,212	03/17/98	Martin	340	870.28	
	5,746,657	05/05/98	Ueno	463	41	
	5,749,785	05/12/98	Rossides	463	25	-
	5,755,621	05/26/98	Marks et al.	463	42	
	5,759,101	06/02/98	Von Kohorn	463	40	· · · · · · · · · · · · · · · · · · ·
	5,762,552	06/09/98	Vuong et al.	463	25	
	5,772,511	06/30/98	Smeltzer	463	17	
	5,787,156	07/28/98	Katz	379	93.13	
	5,800,268	09/01/98	Molnick	463	40	
	5,816,917	10/06/98	Kelmer et al.	463	16	
	5,816,919	10/06/98	Scagnelli et al.	463	25	
	5,823,877	10/20/98	Scagnelli et al.	463	41	
	5,823,879	10/20/98	Goldberg et al.	463	42	
	5,830,067	11/03/98	Graves et al.	463	40	
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	5,871,398	02/16/99	Schneier et al.	463	16	

EXAMINER

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537	
	O I A INTAL INFORMATION DISCLOSURE ATEMENT BY APPLICANTS	APPLICANTS Masood Garahi et al.	CONFIRMATION NO. 9788	
51/	ATEMENT BY APPLICANTS APR 2 6 2	EFILING DATE SApril 2, 2001	GROUP 2164	

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 6,024,640	02/15/00	Walker et al.	463	17	
 6,024,641	02/15/00	Sarno	463	17	R ₂
 6,030,288	02/29/00	Davis et al.	463	29	APR 3 0 2002
 6,080,062	06/27/00	Olson	463	42	APP VE
6,080,063	06/27/00	Khosla	463	42	Throw 200
 6,099,408	08/08/00	Schneier et al.	463	29	ON CON CON
6,102,797	08/15/00	Kail	463	16	16 270°
6,117,011	09/12/00	Lvov	463	25	.00
 6,117,013	09/12/00	Eiba	463	41	
 6,152,824	11/28/00	Rothschild et al.	463	42	
6,186,892	02/13/01	Frank et al.	463	19	-
 6,203,427	03/20/01	Walker et al.	463	16	
 6,251,016	06/26/01	Tsuda et al.	463	42	
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6,254,480	07/03/01	Zach	463	17	
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 6,263,054	07/17/01	Haefliger	379	93.13	
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EXAMINER

FORM PTO-1449	U.S. DEPARTMENT OF CO PATENT AND TRADEMAR	OMMERCE RK OFFICE	ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
SUPPLEME	NTAL INFORMATION DISCLO	APPLICANTS AMasood Garahi et al.	CONFIRMATION NO. 9788	
317	ATEMENT BY APPLICANTS	APR 2 6 2002	gLING DATE	GROUP 2164

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						YES	NO
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